



Monthly Compliance Meeting

December 9, 2010

Today's Agenda

- Jo's Announcements
- Kris' Announcements
- Financial Aid Basics
- Eligibility
- Dr. Clough's Pac-10 updates
- Transfers

Jo's Announcements

- Rosters- completed roster meetings- base spring rosters will be sent out later next week. Please keep Jo updated with any changes/confirmations during winter break.
- Remember to get Comp Ticket request to the TO by NOON on days of games and by NOON Friday for weekend games.
- CARA- reminder to not have your student-athletes sign your CARA logs- we will have them do that in Gate 1.
- Compliance Office – out at Pac-12 Meetings on 12/13 & 12/14- available on cell phones. Office closed on 12/22, but available on cell phones as well.

HAC Announcements

Finals Week

Stress

Extended Study Hall Hours

Scholarship Book Return

Class Absence Letters for Spring

Financial Aid Basics

University of Colorado
Monthly Compliance Meeting
December 9, 2010



Overview

- Case Studies.
- Eligibility for financial aid.
- Permissible sources of aid.
- Individual and team limits.
- Terms and conditions.
- Renewals and non-renewals.

University of Colorado-Boulder

CASE STUDIES:

Juli, Brad, KJ and Leslie

University of Colorado-Boulder

- FGIA, in state \$20,000
- FGIA, out of state \$40,000

- COA, in state \$26,000
- COA, out of state \$46,000

Juli - Facts:

Juli is a recruited in-state student-athlete participating in soccer. She received a \$8,000 athletics scholarship and a \$18,000 honorary academic award.

Juli's financial aid worksheet

Athletics aid	\$ 8,000
Honorary academic honor award	<u>\$18,000</u>
Total aid	\$26,000

Question/Answer

Is Julie a counter?

*Yes. Juli is receiving an athletics award.
She is a counter.*

May Juli receive all the aid described?

Yes. Juli may receive athletics aid up to the value of a FGIA (\$20,000) and other aid unrelated to athletics up the COA (\$26,000).

Question/Answer

What is Juli's equivalency value?

Juli's financial aid worksheet

Athletics aid \$ 8,000

Honorary academic award \$18,000

Total aid \$26,000

Athletics aid \$ 8,000

FGIA amount \$20,000 = .40

Brad – Facts:

Brad is an in-state golfer student-athlete who walked on to the team. He is financing his education with a part-time campus job earning \$10,000 a year. He receives a tuition waiver worth \$5,000 as the son of a faculty member. He also receives an award from the local high school golf booster club worth \$500.

Brad's financial aid worksheet

Employment earnings	\$10,000
Tuition waiver	\$ 5,000
Golf club award	<u>\$ 500</u>
Total aid	\$15,500

Question/Answer

Has Brad's aid gone over his individual limit?

No, because the employment earnings do not count toward the FGIA limit or the COA limit. The tuition waiver counts toward his COA limit only. Only the outside award with athletics as a major criterion counts toward his FGIA limit.

Question/Answer

What is Brad's equivalency?

Brad's financial aid worksheet

Employment earnings	\$10,000
Tuition waiver	\$ 5,000
Golf club award	<u>\$ 500</u>
Total aid	\$15,500

Brad's outside award with athletics as a major criterion counts toward the team limits only if he was recruited. He was not, so no equivalency should be calculated.

KJ - Facts

KJ is a recruited third-year, out-of-state basketball student-athlete. He receives a \$10,000 athletics scholarship. He also receives a \$10,000 academic award which is available to students in his major with a 3.500 or better grade-point average. There is no athletics component to this award. In addition, Ted's parents contribute to his education expenses.

KJ's financial aid worksheet

Athletics aid	\$10,000
Academic award	\$10,000
Parental contribution	<u>\$20,000</u>
Total aid	\$40,000

Question/Answer

Has KJ exceeded his individual financial aid limit?

No. Contributions from parents are not included in either the COA or FGIA limits. His athletics aid counts towards the FGIA limit. The institutional academic scholarship counts towards his COA limit. The basketball scholarship does not exceed the value of an out-of-state FGIA (\$40,000). The combination of the basketball scholarship and the academic award do not exceed the COA limit (\$46,000).

What is KJ's equivalency value?

Because KJ is a counter in a head-count sport (basketball), he is counted as a "1" against the team's limit regardless of his equivalency value.

Leslie – Facts:

Leslie is a recruited in-state volleyball student-athlete on a full-athletics scholarship. She also qualifies for the state “cream-of-the-crop” award, which is a \$3,000 scholarship available to all students from that state who graduated from high school with a 2.500 or better grade-point average.

Leslie’s financial aid worksheet

Athletics award	\$15,000
Outside education grant	<u>\$ 3,000</u>
Total aid	\$18,000

Question/Answer

Should Leslie's financial aid be calculated in the volleyball team's limit?

Leslie is a counter. She counts against the team limit and her FGIA limit. The outside award is unrelated to her athletics ability. Even though it does not count towards her FGIA, it counts towards her COA limit.

University of Colorado-Boulder

CASE STUDIES:

Maria and Andy

Maria – Facts:

- Maria is a nonrecruited first-year walk-on to the ski team. She receives no institutional aid.
- Maria's coach wants to give her athletics aid at midyear.

Question/Answer

Assuming the team has money available within team limitations to award, may Maria accept the aid?

Yes, Maria may receive the aid because she had not received institutional aid prior to this date (Bylaw 15.3.3.1.1). However, aid cannot be awarded retroactively. Therefore, the award can only be for the remainder of the year's expenses, per Bylaw 15.3.1.3 (retroactive financial aid).

Andy – Facts:

Andy is a recruited out-of-state third-year student-athlete participating in track and field. He is awarded a .40 equivalency for the full academic year. He has not performed up to his coach's expectations and has been attending counseling sessions for depression.

Question/Answer

What if Andy quits the team out of frustration for his poor performance and other issues?

- Coach Wetmore may reduce or cancel his aid if he voluntarily withdraws from the team at any time.

NOTE: The institution must inform Andy of his opportunity for a hearing before reducing or cancelling his aid.

Eligibility for Institutional Aid

Bylaw 15.01.5

- Our Student-athletes must meet all guidelines.
 - State of Colorado.
 - Federal.
 - Big 12 Conference/Pac-12 Conference.
 - University of Colorado.
 - NCAA.

Eligibility for Institutional Aid

Bylaw 15.01.5

- Student-athlete has not received more than five years (10 semesters, not counting summer school) of aid within six years of initial full-time collegiate enrollment.
- After six-years financial aid eligibility restrictions apply only to unearned athletics aid for which athletics intercedes.

Eligibility for Institutional Aid

Bylaw 14.5.5.4

- Remember.... A 4-Year college transfer **must** have been academically eligible for competition had they remained at the previous institution in order to receive institutional athletically related financial aid per Bylaw 14.5.5.4.

Eligibility for Institutional Aid

Bylaw 14.5.6.5

- Four-year institution to a two-year institution and then to the certifying institution (4-2-4 transfer) shall complete at least one regular academic term of enrollment at the two-year institution in order to be eligible for athletically related financial aid at the certifying institution per Bylaw 14.5.6.5.

Terms of Awarding Aid

- Award must conform to CU and Conference regulations (Bylaw 15.3.2.1).
- Aid awarded to prospective student-athlete may not be conditioned on recipient reporting in satisfactory physical condition (Bylaw 15.3.2.2).

Terms of Awarding Aid

Bylaw 15.3.2.3

- Written statement required and must include amount, duration, conditions and terms of award.
- Must be signed by individual who oversees awarding of financial aid (Gwen Pomper) generally (electronic authorization permissible).
- Signature of the AD, attesting the award does not satisfy this requirement.

Period of Institutional Financial Aid

- One-year period.
 - Aid may not be awarded in excess of one academic year or for a period of less than one academic year. (Bylaw 15.3.3.1)
 - Aid shall be awarded in equal amounts for each term of the academic year (Bylaw 15.3.3.2).

Period of Institutional Financial Aid

❑ Exceptions to one year period (Bylaw 15.3.3.1.1):

- Midyear Enrollment.
- Final Semester/Quarter.
- One-Time Exception.

Period of Institutional Financial Aid

- During academic year, period of the award begins with the first day of class or practice, whichever is earlier (Bylaw 15.02.7).
- “Period of award” for reduction/cancellation is from student-athlete’s signing of award letter through period set forth in agreement (Bylaw 15.3.4.3.2).

Period of Institutional Financial Aid

What about the summer term (Bylaw 15.2.8)?

- Separate award.
- Awarded in proportion to aid during regular term.

When is Reduction or Cancellation Permitted During Period of Award?

Bylaw 15.3.4.1

- Ineligible.
- Fraud.
- Serious misconduct.
 - An institution may cancel or reduce the financial aid of a student-athlete who is found to have engaged in misconduct by the university's regular disciplinary authority for students, even if the loss-of-aid requirement does not apply to the student body in general. (Bylaw 15.3.4.1.2)
- Voluntary withdrawals.
 - Must be initiated by student-athlete.
 - Recipient's financial aid may not be awarded to another student-athlete in the academic term in which the aid was reduced or canceled.
 - Student-athlete's request for written permission to contact another four-year collegiate institution regarding a possible transfer does not constitute a voluntary withdrawal.

When is Reduction or Cancellation Not Permitted During Period of Award?

- Generally, not permitted for any reason not listed on previous slide.
- Bylaw 15.3.4.3 specifically does not allow for reduction:
 - Based on the student-athlete's athletics ability.
 - Because of an injury, illness or physical or mental condition.
(Note: need not prevent participation).
 - For any other athletics reason.

Hearing Requirement

Bylaw 15.3.2.4

- Prior to reduction or cancellation, the student-athlete must be provided with the following:
 - Written notice of the reduction or cancellation; and
 - Written notice of an opportunity for a hearing.
 - Written notice shall include a copy of the institution's established policies and procedures for conducting the required hearing, including the deadline by which a student-athlete must request such a hearing.

Hearing Requirement

- Hearing must be prompt.
- Hearing must be conducted by the entity that considers such requests from all student-athletes in accordance with established institutional policies.
 - Hearing cannot be conducted by athletics department or faculty athletics committee.
 - Athletics department staff may be standing member.

When is an Increase Permitted During Period of Award? Bylaw 15.3.4.2

- Between the period when the student-athlete signs the financial aid agreement and the beginning of the period of the award (i.e., first day of practice or class).
- During the period of the award, if the institution can demonstrate that the increase is unrelated to an athletics reason.

When is an Increase Permitted During Period of Award? Bylaw 15.3.4.2

- If you decide to put a walk-on on scholarship, they will affect your APR!
- Check their grades/GPA with academics prior to promising any money.
- Verbal promises have stood up in court, so don't make any promises of aid that are not feasible.

Renewals and Nonrenewals

Bylaw 15.3.5.1

- Must be made in writing on or before July 1.
- Notification must:
 - Be prompt and in writing.
 - Be sent to all student-athletes who received an award the previous academic year and has eligibility remaining.
 - Come from the institution's regular financial aid authority -- not from the athletics department.
- Hearing required if cancelled or reduced. See Bylaw 15.3.2.4.
- Institutions may write non-athletically related conditions into the financial aid agreement.

I am aware that the amount of my athletic scholarship **may** be immediately reduced or canceled during the period of this award if:

- I become ineligible for intercollegiate competition.
- I miss an excessive number of classes, fail to complete an excessive number of academic assignments, fail to take examinations, or otherwise neglect my academic responsibilities.
- I do not successfully pass all attempted credits and maintain a cumulative 2.0 gpa or better, during my last term of eligibility.
- I give false information on my admission application, letter of intent, athletic scholarship agreement, or other financial aid application forms.
- I engage in serious misconduct that brings disciplinary action from the University of Colorado at Boulder.
- I voluntarily withdraw from this sport for personal reasons regardless of whether or not the first date of the competition in my sport has taken place.

I understand that my athletic scholarship **must** be canceled if:

- I sign a professional sports contract for this sport.
- I accept money for playing in an athletic contest that causes me to exceed the cost of a full scholarship.
- I agree to be represented by an agent and accept money that causes me to exceed the cost of a full scholarship.

I understand that my athletic scholarship will be reduced if:

- I receive other financial assistance that causes me to exceed my individual limit.

Questions?



Continuing Eligibility/ Progress Towards Degree

6-hour Rule

6-HOUR RULE:

❖ **D-I NCAA Bylaw 14.4.3.1(c)**

- ❖ Requires each student-athlete to pass **6 hours** of academic credit during the previous full-time term
- ❖ Must be certified **each term**

24-hour and 18-hour Rules

24/HOUR RULE:

- ❖ NCAA Bylaw 14.4.3.1(a) - Requires each student-athlete to pass 24 semester of academic credit prior to the start of Year 2

18/HOUR RULE:

- ❖ NCAA Bylaw 14.4.3.1(b) - Requires each student-athlete to pass 18 semester of academic credit during the previous academic year (not including summer)

Minimum GPA and Degree Designation

CUMULATIVE GPA RULE:

❖ NCAA Bylaw 14.4.3.3

- ❖ Starting Year 2 (*3rd full-time semester/4th full-time quarter*), cumulative GPA must be certified each term
- ❖ For schools that require a 2.0 to graduate in any degree program
 - ❖ Year 2 = 90% or 1.8/2.0
 - ❖ Year 3 = 95% or 1.9/2.0
 - ❖ Year 4 & beyond = 100% or 2.0/2.0

DESIGNATION OF DEGREE PROGRAM:

- ❖ D-I NCAA Bylaw 14.4.3.1.6 - Requires each student-athlete to designate a degree program by the beginning of 3rd year (5th Semester) of collegiate enrollment

Progress Toward Degree for 4-year Degree Programs

By 1st
Sem/Qtr

- Certified as a NCAA final academic qualifier
- Enroll Full-Time

- TERM-BY-TERM:**
- Pass at least 6 hours prior term

By 2nd
Year

- Completed 24 semester/36 quarter hours of degree credit
- Completed 18 semester/27 quarter hours during regular academic year
- Enroll Full-Time

- TERM-BY-TERM:**
- 90% cumulative GPA (1.8/2.0)
 - Pass at least 6 hours prior term

By 3rd
Year

- Completed 40% of designated degree program
- Completed 18 semester/27 quarter hours during regular academic year
- Enroll Full-Time

- TERM-BY-TERM:**
- 95% cumulative GPA (1.9/2.0)
 - Pass at least 6 hours prior term

By 4th
Year

- Completed 60% of designated degree program
- Completed 18 semester/27 quarter hours during regular academic year
- Enroll Full-Time (unless part-time enrollment is only needed for graduation)

- TERM-BY-TERM:**
- 100 % cumulative GPA (2.0/2.0)
 - Pass at least 6 hours prior term

By 5th
Year

- Completed 80% of designated degree program
- Completed 18 semester/27 quarter hours during regular academic year
- Enroll Full-Time (unless part-time enrollment is only needed for graduation)

- TERM-BY-TERM:**
- 100 % cumulative GPA (2.0/2.0)
 - Pass at least 6 hours prior term

Sample Certification Cycle

1. Degree Hours Verified

- Certifying Officer submits pre-certification forms to campus advisors for approval of hours toward degree

2. Grades Checked

- Beginning the day grades are initially posted, check grades earned against pre-certification forms

3. Eligibility Determination

- Compile satisfactory progress data and check 6, 18, and 24 hours; GPA; %age toward degree
- Ineligible student-athletes identified and notified of deficiencies prior to the start of next semester

4. Certification

- Complete eligibility report for each sport designating eligible and ineligible SAs (on-line signature)
- Report signed by head coach, Athletics Director, Certifying Officer and Faculty Athletics Rep and submit to Big 12 Conference prior to first competition

5. Continued Monitoring

- A needs list for ineligible SAs is prepared
- Course and other recommendations provided to student-athletes where necessary
- progress monitored for deficiency cure

Understanding the Eligibility Checklist

- what do all those cryptic headers mean?

initial enrollment
Any institution
Your institution

NCAA qualifier (Q)
 or non-qualifier(N)

GAS: good
 academic standing

certified for
Practice and
Competition

Report Date: 8/29/2006
 Institution: UNIVERSITY OF COLORADO

Academic Year: 2006-07
 Sport: Women's Soccer

COMPLIANCE ASSISTANT ELIGIBILITY CHECKLIST

Student-Athlete Name Student I.D. No.	Initial-Eligibility/ Transfer Information				Continuing-Eligibility Information				General-Eligibility Information										
	Enrolled Any Year	Q I E W	T R R	I S	G A S	CHR GPA-II	POD GPA-I	Degree Declared Six Hour	P T D W	F T	Seas Used	DII S/Q Used	Cum. GPA	SAS AOE	D T C	Cert. P Cert. C	E	AI IV	Comment 1 Comment 2
	F-06	Q				NA	NA			Y	0		.0	Y	Y	07/27/2006	Y		
	F-06	N				NA	NA	NA						Y	Y	07/27/2006			

initial
 eligibility
 waiver

passed 6
 previous
 semester

progress
 toward
 degree
 waiver

the bottom line
 Y : eligible
 N: not eligible



Dr. Clough's Pac-10 Update

Dr. Clough's Review of the Pac-10 Conference Continues.....

Executive Regulations

Chapter 4 – Student-athlete Eligibility

2. Transfers

Eligibility for transfers from a non-Conference institution follow NCAA regulations with these exceptions:

(1) Four-year college transfer (“4-4”)

Student-athlete who was a nonqualifier is permanently ineligible for practice, financial aid and competition unless

- a) s/he has met all NCAA transfer requirements**
- b) has completed 48 semester hours transferable, GPA \geq 2.0**
- c) has attended the 4-yr college for at least 3 sem., full time**

Differences from Big 12:

Big 12 requires 48 total, 36 at 4-yr. Big 12 allows practice.

Dr. Clough's Review of the Pac-10 Conference Continues.....

2. Transfers (continued)

Exception to 4-yr rule:

Provisions do not apply to foreign SA who completed secondary studies in a foreign country and achieved a qualifier SAT or ACT score after the applicable test score deadline and was granted an IEW waiver by the NCAA

Next time we will look at 2-yr college transfers